

ARK:ahh092006/1591757.REQ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant

Liban Yusuf

Serial No.

09/752,988

Filed

January 2, 2001

For

LOTTERY TICKET PLAY ACTION GAME

Art Unit

3711

Confirmation No.

9844

Attorney Docket No.

159.1.757

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP PETITION, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

NAME

November 10, 2006 Jill S. Garretson

SIGNATURE

November 10, 2006

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RENEWED PETITION UNDER 37 C.F.R. 1.47(b)

Dear Sir:

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This is a Request for Reconsideration in response to the Decision of Refusing Status under 37 CFR 1.47(b) of December 12, 2002. Applicant has filed concurrently herewith a Petition for a four (4) month extension of time along with the requisite fee extending the date for response to June 12, 2003.

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The Decision states that the Petition under 37 CFR 1.47(b) of September 7, 2001, failed to: (1) establish that the non-signing inventor was ever presented with the application for signature, (2) present an oath or declaration for the patent application in compliance with 37 C.F.R. 1.63 and 1.64, (3) show or provide proof that Oberthur Gaming Technologies, Inc., (hereinafter "Oberthur") has sufficient proprietary interest in the subject matter to justify the filing of the application, and (4) provide a statement that "the filing of the application is necessary to preserve the rights of the parties."

In response to the Decision, Applicant has filed herewith 1) a Declaration of Allen R. Kipnes, Esq., Intellectual Property counsel to Oberthur, providing a statement regarding the presentation of the application to the sole inventor Liban Yusuf for signature; 2) a Declaration/Power of Attorney executed by Claude Lambert, Vice-President of Technological Development of Oberthur, in compliance with 37 C.F.R. 1.63 and 1.64; and 3) a Declaration of Claude Lambert, providing a statement establishing Oberthur's sufficient proprietary interest in the subject matter, and the necessary statement that "the filing of the application is necessary to preserve the rights of the parties."

Applicant reiterates that, despite all these efforts, Mr. Liban Yusuf has refused to cooperate and all efforts to discuss this matter have been unfruitful. Under these circumstances, Applicant respectfully requests for a renewed petition under 37 CFR 1.47(b) so that the unsigned Declaration/Power of Attorney of Mr. Yusuf be entered on the record and that the filing date of January 2, 2001 be confirmed.

ARK:ahh092006/1591757.REQ

Since the Official Fee for the Petition has been charged and the requisite fees for extending the date for response and for reviving the application for patent abandoned unintentionally, are enclosed herewith, it is believed that no additional fee is due. However, if any additional fee is due, it should be charged to Deposit Account No. 23-0510.

Respectfully submitted,

Allen R. Kipnes/ Esquire Registration No. 28,433 Attorney for Applicant

Address All Correspondence to: Allen R. Kipnes, Esquire WATOV & KIPNES, P.C. P.O. Box 247 Princeton Junction, NJ 08550 (609) 243-0330

NOV 1 5 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| n | re | Ap | pli | ica | tion | of: |
|---|----|----|-----|-----|------|-----|
| | - | | | | | |

Applicant

Liban Yusuf

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NOV 17 2006

OFFICE OF PETITIONS

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ON November 10, 2006

NAME Jill S. Garretson

SIGNATURE

November 10, 2006

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION

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Dear Sir:

I, Allen R. Kipnes, declare and say as follows:

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- 1. For all times relevant herein, I hereby state that I have been the Intellectual Property counsel for Oberthur Gaming Technologies, Inc., the owner of the above-identified patent application. I am aware that the U.S. Patent and Trademark Office has requested evidence that the non-signing inventor, Mr. Liban Yusuf, was presented with the application for signature, as part of the proof that the non-signing inventor refuses to sign the oath or declaration.
- 2. On or about March 11, 2003, I sent via FedEx International Priority

 delivery service a package containing a letter along with a copy of the patent application, and the Declaration/Power of Attorney, to the last known address of Mr. Liban Yusuf. The letter informed Mr. Yusuf that we request his signature and dating on the Declaration/Power of Attorney documents enclosed with the copy of the patent application, and that he forward the signed and executed documents to my attention as soon as possible. A copy of the cover letter to Mr. Liban Yusuf and the corresponding mailing slip for the package is attached hereto.
 - 3. On or about March 18, 2003, I received confirmation of Mr. Yusuf's receipt of the package of March 11, 2003, signed for delivery by "L. Yusef" at about 11:23 am. A copy of the proof of delivery from the delivery service is attached hereto.
 - 4. I hereby state that I have not received to this day the signed and executed documents from Mr. Yusuf. I believe that Mr. Yusuf is and remains totally

uncooperative with respect to the above-captioned application and believe that he

would not sign the required Declaration/Power of Attorney in order to complete the

formal filing requirements of the above-identified patent application.

I hereby declare that all statements made herein of my own knowledge are true

and that all statements made on information and belief are believed to be true; and

further that these statements were made with the knowledge that willful false

statements and the like so made are punishable by fine or imprisonment, or both, under

section 1001 of Title 18 of the United States Code, and that such willful false

statements may jeopardize the validity of the application or any patent issuing thereon.

Date: NOV, 10, 2006

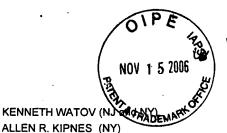
By: Allen R. Kipnes

Registration No. 28,433
Attorney for Applicant

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WATOV & KIPNES

A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

Mailing Address:

P.O. BOX 247 PRINCETON JUNCTION, NJ 08550

> Express Mail Address: 186 Princeton-Hightstown Rd. Building 3B Princeton Junction, NJ 08550

FILE

PATENTS, TRADEMARKS, COPYRIGHTS AND RELATED MATTERS

> (609) 243-0330 FAX: (609) 275-1010

> > 1591757.LY

March 11, 2003

VIA INTERNATIONAL FEDERAL EXPRESS

Liban Yusuf 99 Dalhousie Street, Apt. 909 Toronto, Ontario M5B 2N2 CANADA

ALBERT H. HSU (NJ, NY, and DC)

E-Mail for KW- WKKen@aol.com

E-Mail for ARK- WKAllen1@aol.com

Re:

U.S. Patent Application Serial No. 09/752,988 Based on a project entitled "Ancient Treasures"

Our Reference No.: 159.1.757

RECEIVED

NOV 1 7 2006

OFFICE OF PETITIONS

Dear Mr. Yusuf:

We are intellectual property counsel for Oberthur Gaming Technologies and we are enclosing herewith a patent application including a Declaration/Power Of Attorney. We require your signature and dating on the Declaration/Power Of Attorney and a return of the application as well as the executed Declaration/Power Of Attorney to my attention. We ask that you forward these documents to my attention as soon as possible. Preferably we request you return the documents by Federal Express or other overnight courier and upon receipt, we will reimburse you for the amount of shipping.

The enclosed patent application is based on the lottery ticket design that you developed entitled "Ancient Treasures" which was selected from the content referred to as "Winning Concept 1999" sponsored by Oberthur Gaming Technologies, Inc.

You will recall that you Assigned all your rights (both non-patent and patent rights) to this game and were paid the sum of \$2,500 for the Assignment of rights.

Accordingly, we would appreciate your cooperating by signing the enclosed Declaration/Power Of Attorney where indicated.

Please note that the Declaration/Power Of Attorney states that you believe that you are the first to invent the subject matter of the patent application.

Liban Yusuf March 11, 2003 Page 2

We look forward to the receipt of the entire executed application and thank you in advance for your cooperation in connection with this matter.

Very trally yours

Allen R. Kipnes

ARK:jsg Enclosures

| A CLUS STIDION | | • | | . - | | *) | • | ~; | | | ; | KELUK | US | | | | PART 155924-Rev. Date 10:00 C1994-2000 F-405x PRINTED IN U.S.A. | 20+0 | -) | · : - | |
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| Not all sorvices | 5 Express Package Service | 130°. | 6 Packaging | | | Shipper must check / tick: This shipment does not control to the c | 8a Payment Bill | Section 1 will be billed. | FedEx Acct No. Credit Card No. Credit Card | 8b Payment Bill o | Sender Acc. No. in Section 1 will be billed. | | n | | Sender's Signature: | This is not a | | Number 6328 | | to the second of | |
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| Fective International Air | Sender's FedEx Account Number 1353-13 | Sender's Allen R. Kipnes, Esperione | KIPNES PC | Address 186 PRINCETON-HIGHTSTO | | | 159.1.75 ^{P1} | | | 47 | יייייי בתונוסומיוניים בייי | | | | for BI Onte Tet bere if conds are not | Total Weight DIM DA kg | ption Hermonized Code | AD CAND PHAD | | No SED negatived, value \$2500 or less Tot per Schedule B Commodity number for | port feersa no. end exp. data bot, wECCN il applicable) |
| FedEX. I | 1 From Passa part and press hard. Date 3/11/2/03 | Sender's Allen R | Company MATOV & KIPNES PC | Address 186 PRI | GW PRINCETON JUNCTION | | 2 Your Internal Billing Reference Frt 24 characters will appear on moder. | 3 To Recipient's Liban YUSUF | Company | l . | Address | 4 | 011010 | Country CHWHDH | 49. GST/RFC/NAT/N/GN, or so boasy required A Shinmouth Information For BI On | | Commodity Description REDURED | Business Come spondense | | For U.S. Export Only: Check One | 17 SED estached (provide export ferense no, end exp. data or ferense exception symbol wECCN I applicable) |



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Telephone: 901-369-3600

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OFFICE OF PETITIONS

3/21/2003

Dear Customer:

Here is the proof of delivery for the shipment with tracking number 832803990479. Our records reflect the following information.

Delivery Information:

Signed For By: L.YUSEF

Delivery Location: 99 DALHOUSIE ST Delivery Date: March 18, 2003

Delivery Time: 1123

Shipping Information:

Tracking No: 832803990479 Ship Date: March 11, 2003

Recipient:

LIBON YUSUF

99 DAL HOUSIE STREET APT. 909

TORONTO, ON M5B2N2

CA

Shipper:

ALLEN R KINNES ESQ

ILLEGIBLE

ILLEGIBLE

PRINCETON JUNCTION, NJ 03550

US

Shipment Reference Information:

Thank you for choosing FedEx Express. We look forward to working with you in the future.

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Reference No.: R2003032100075748462



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant

Liban Yusuf

Serial No.

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November 10, 2006 ON NAME Jill S. Garretson

SIGNATURE_

November 10, 2006

Mail Stop Petition Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED

DECLARATION

NOV 1 7 2006

Dear Sir:

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OFFICE OF PETITIONS

I, Claude Lambert, declare and say as follows:

- 1. For all times relevant herein, I have been the Vice-President of Technological Development of Oberthur Gaming Technologies, Inc, the owner of the above-identified patent application. Based on information and belief, Mr. Liban Yusuf, the inventor of the subject matter claimed in the above-identified patent application has refused to execute the Declaration/Power of Attorney, which is required for submission to the U.S. Patent and Trademark Office.
- 2. On information and belief, I am of the view that Mr. Yusuf is the sole and first inventor of the subject matter of the present application and have no information other than what is of record in the present application to suggest otherwise.
- 3. I am aware that the U.S. Patent and Trademark Office has requested evidence that the invention covered by the above-identified patent application was assigned to Oberthur Gaming Technologies, Inc.

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4. I am enclosing herewith a copy of an Assignment from Liban Yusuf to Oberthur Gaming Technologies, Inc. assigning all non-patent and patent rights in Mr. Yusuf's project entitled "Ancient Treasures" which was submitted as part of a contest sponsored by Oberthur Gaming Technologies, Inc. entitled "Winning Concept 1999".

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5. Mr. Yusuf was presented a check in the amount of \$2,500 as full payment for the Assignment of all rights which was forwarded to his home address on September

27, 2000 by Lyse Trudel, Public Relations Officer of Oberthur Gaming Technologies, Inc. A copy of the check and letter from Lyse Trudel to Mr. Yusuf is also enclosed.

6. On information and belief, the subject matter of the present application embodies the concept of "Ancient Treasures" presented by Mr. Liban Yusuf as an entry in the "Winning Concepts 1999" contest sponsored by Oberthur Gaming Technologies, Inc. I submit that the evidence of the Assignment presented herein is proof of Oberthur Gaming Technologies, Inc.'s proprietary interest in the subject matter of the present application.

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7. Pursuant to my accompanying Declaration, I believe that Oberthur Gaming Technologies Inc., has sufficient proprietary interest in the subject matter of the present application for me to execute the Declaration/Power of Attorney for filing in the present application, and that the filing date of the present application is necessary to preserve the rights of Oberthur Gaming Technologies, Inc. and to prevent irreparable damage which could occur since commercialization of the claimed technology has taken place.

8.

In support of the accompanying Petition for Revival of an Application for Patent Abandoned Unintentionally under 37 C.F.R. 1.137(b), I submit that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. 1.137(b) was unintentional.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: SEPT. 26, 200 G

By: Claude Lambert

Vice-President – Technological Development Oberthur Gaming Technologies Inc.

10



September 27, 2000

Mr. Liban Yusuf 99 Dalhousie Street, apt. 909 Toronto, Ontarlo M5B 2N2 **RECEIVED**

NOV 1 7 2006

OFFICE OF PETITIONS

Subject: "Winning Concept '97"

Dear Mr. Yusuf,

Please find enclosed the cheque in the amount of \$2,500 representing the lump sum for all your non-patent and patent rights in your concept entitled "Ancient Treasures".

Should your project be chosen by one or more of our clients in the future, it will be our pleasure to send you some samples of the printed ticket.

Best regards,

Lyse Trudel

Public Relations Officer

Encl.

S.9





Nº DU FOURNISSEUR 43780 hur Jeux et Technologies inc. 1563 LIBAN YUSUF MONTANT BAUT DÉDUCTION TRANSACTIONS CONCEPT GAGN 0.00 2,500.00 CHÉQUE Nº TOTAL BRUT TOTAL DES DÉDUCTIONS MONTANT DU CHÈQUE ATE DU CHÉQUE 2,500.00 0.00 2,500.00

Cherthur Jeux et Technologies

1000, Boul de l'Assomption Montreal Quebec) HIN 3V5 Canada

TWO THOUSAND FIVE HUNDRED AND 00/100

600, rue de La Gauchelière Ouest Montréal (Quéecc) H3B 4L2

DATE

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25-09-2000 43780

2,500.00

PAYEZ

LIBAN YUSUF
99 DALHOUSIE ST.
OHDRE APT: 909 TORONTO, ONT. CDN.
M58 2N2

Oberthur Jeux et Technologies inc.

PAR PAR

CHÈQUE Nº

MECENTEL

NOV 1.7 2006

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OFFICE OF PETITIONS



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NOV 17 2006

OFFICE OF PETITIONS

: Diane Jalbert

 \mathbf{A}^+

: Ginette Robillard (Lyse Trudel)

DATE: 19 septembre 2000

OBJET: « CONCEPT GAGNANT 99 » - Paiement pour les projets retenus

Me Kipnis vient de m'aviser qu'il a reçu une confirmation de notre demande de brevet basé sur le projet Ancient Treasures de Liban Yusuf. Ce projet a été présenté dans le cadre de notre concours «Concept gagnant 1999».

Conformément aux Règlement du concours, Oberthur Jeux et Technologies s'engage à verser la somme forfaitaire de 500 \$ au créateur du concept choisi qui devra en céder ses droits d'auteur ainsi qu'une somme de 2 000 \$ pour sa cession de droits d'invention. À cet effet, tu trouveras ci-joint une copie du formulaire de cession de droits d'auteur et d'invention signé le 18 août 2000 par ce participant, l'original ayant été conservé par Me Kipnis pour envoi au US Patents & Trademarks Office.

Par conséquent, pourriez-vous <u>remettre à Lyse</u> le chèque de 2 500 \$ émis à :

Liban Yusuf 99 Dalhousie Stree, apt. 909 Toronto, ON M5B 2N2

NAS: 503-993-495

Cette dépense devrait être portée au poste budgétaire - Projet 800273

Merci à l'avance



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NOV 1 7 2006

OFFICE OF PETITIONS

Pursuant to the "Winning Concept 1999" contest rules, I, Liban Yusuf, hereby assign to Oberthur Gaming Technologies (formerly BABN Technologies) all non-patent rights throughout the world, including but not limited to the copyright in my project entitled "Ancient Treasures" in consideration of the lump sum of \$500 that Oberthur Gaming Technologies will pay me. I agree to cooperate with Oberthur Gaming Technologies including the execution of any and all documents. In the event Oberthur Gaming Technologies does not pay me this sum, this assignment is deemed null and void and without effect.

In consideration of the lump sum of \$2,000, I also hereby assign to Oberthur Gaming Technologies all patent rights throughout the world relating to said project. I agree to cooperate with Oberthur Gaming Technologies including the execution of any and all documents. In the event that Oberthur Gaming Technologies does not apply for a patent in any country, the sum of \$2,000 shall not be payable to me. In the event Oberthur Gaming Technologies does not pay me this sum, this assignment is deemed null and void and without effect.

SIGNATURE

el

DATE

2.9



July 11, 2000

Liban Yusuf 99 Dalhousie Street, apt. 909 Toronto, Ontario M5B 2N2

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NOV 1 7 2006

OFFICE OF PETITIONS

OBJECT: Winning Concept 99

Dear Mr. Yusuf:

As discussed today, would you please sign the attached form and fax it to me at (514) 254-1411. Afterwards, would you please forward the original, as soon as possible (by express mail), to the following address:

Oberthur Gaming Technologies Ginette Robillard 3000, boul. de l'Assomption Montreal, Quebec Canada H1N 3V5 Tel: (514) 254-3000

For your information, as soon as we receive the US Offical Filing Patent Receipt, it will be my pleasure to send you a check of \$2,500, which may take at least one month.

Again all our congratulations!

Ginette Robillard

Research Analyst and Project coordinator

encl.

Country of citizenship: Canada Full warne: liban years.





NOV 1 5 2006

Docket No. 159.1.757

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

LOTTERY TICKET PLAY ACTION GAME

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| the specification of which | П | | | | | | |
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| was filed on Januar | ry 2, 2001 | as United States Application No. | United States Application No. or PCT International | | | | |
| Application Number | 09/752,988 | | | | | | |
| and was amended o | n | | | | | | |
| | | (if applicable) | | | | | |
| = | | rstand the contents of the above indment referred to above. | dentified specification, | | | | |
| 1.56, including for con | tinuation-in-part appl of the prior applicatio | n which is material to patentability ications, material information when and the national or PCT internation. | ich became available | | | | |
| application(s) for patent application which desig below and have also i | t, or plant breeder's nated at least one of dentified below, by der's rights certificate | er 35 U.S.C. 119(a)-(d) or (f), or rights certificate(s), or 365(a) of a country other than the United Stachecking the box, any foreign a e(s), or any PCT international appriority is claimed. | any PCT International tes of America, listed application for patent, | | | | |
| Prior Foreign Application | <u>n(s)</u> | | Priority Claimed | | | | |
| (Number) | (Country) | (Day/Month/Year Filed) | _ | | | | |
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| (Number) | (Country) | (Day/Month/Year Filed) | | | | | |
| | | | | | | | |

| 60/225,325 | August 15, 2000 | |
|---|--|--|
| (Application Serial No.) | (Filing Date) | |
| (Application Serial No.) | (Filing Date) | |
| (Application Serial No.) | (Filing Date) | |
| nsofar as the subject matter of e Jnited States or PCT Internationa J.S.C. Section 112, I acknowledg Office all information known to m | ach of the claims of this ap I application in the manner p e the duty to disclose to the e to be material to patentab | the United States, listed below an plication is not disclosed in the provided by the first paragraph of United States Patent and Tradema bility as defined in Title 37, C. F. I the prior application and the nation |
| or PCT International filing date of the | nis application: | |
| or PCT International filing date of the | nis application: | |
| or PCT International filing date of the control of | is application:(Filing Date) | (Status) (patented, pending, abandoned |
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Allen R. Kipnes, Esq.

Registration No. 28,433

Send Correspondence to: Allen R. Kipnes, Esq. WATOV & KIPNES, P.C.

P.O. Box 247

Princeton Junction, New Jersey 08550

Direct Telephone Calls to: (name and telephone number)

Allen R. Kipnes, Esq. (609) 243-0330

| Full name of sole or first inventor Claude Lambert, Vice-President of Technical Development of Ol | perthur Gaming Technologies Inc. |
|--|----------------------------------|
| Sole or first inventor's signature | OCT, 30 U. 2006 |
| Residence 7 2511 Boul. Gouin Est, Montreal H2B 1X7 CANADA | |
| Citizenship CANADA | |
| Post Office Address Same as above. | |
| | |

| Full name of second inventor, if any | |
|--------------------------------------|---------------------------------------|
| Second inventor's signature | Date |
| Residence | · · · · · · · · · · · · · · · · · · · |
| Citizenship | |
| Post Office Address | |
| | |